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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/618,837

07/14/2003

Yukinobu Yumita

81868.0098

CONFIRMATION NO. 4349 FORMALITIES LETTER

OC000000011030199

26021 HOGAN & HARTSON L.L.P. 500 S. GRAND AVENUE **SUITE 1900** LOS ANGELES, CA 90071-2611

Date Mailed: 10/14/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

 Additional claim fees of \$138 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1038 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$138
 - \$54 for 3 total claims over 20.
 - \$84 for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

Effective OCTOBER 1, 2003. Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

EXAMPLES OF FEES BEING ADJUSTED	*	Indicates
See www.uspto.gov for complete FY 2003		es remain
and FY-2004 Fee Schedules	at	FY 2003
DECORIDATION		amount
DESCRIPTION FY 20		Y 2004
Basic filing fee - Utility	\$750	\$770
Basic filing fee - Utility (Small Entity)	\$375	\$385
Independent claims in excess of three	\$84	\$86
ndependent claims in excess of three (Small Entity)	\$42	\$43
Claims in excess of twenty	\$18	\$18*
Claims in excess of twenty (Small Entity)	\$9	\$9*
•	\$280	\$290
• • •	\$140	\$145
	\$130	\$130
Surcharge - Late filing fee (Small Entity)	\$65	\$65
· ·	\$330	\$340
· · · · · · · · · · · · · · · · · · ·	\$165	\$170
	\$520	\$530
	\$260	\$265
•	\$750	\$770
3 (7)	\$375	\$385
Reissue independent claims	\$84	\$86
Reissue independent claims (Small Entity)	\$42	\$43
Reissue claims in excess of twenty	\$18	\$18*
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*
Provisional application filing fee	\$160	\$160*
Provisional application filing fee (Small Entity)	\$80	\$80*
Surcharge - Late provisional filing fee	\$50	\$50*
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*
Non-English Specification	\$130	\$130*
Extension - First month	\$110	\$110*
Extension - First month (Small Entity)	\$55	\$55*
Extension - Second month	\$410	\$420
Extension - Second month (Small Entity)	\$205	\$210
Extension - Third month	\$930	\$950
Extension - Third month (Small Entity)	\$465	\$475
Extension - Fourth month \$1	,450	\$1,480
Extension - Fourth month (Small Entity)	725	\$740
Extension - Fifth month \$1	,970	\$2,010
Extension - Fifth month (Small Entity)	985	\$1,005
	\$130	\$130*
Processing returned checks	\$50	\$50*

For further fee information, please call (703-308-HELP)